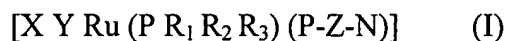


Amendments to the Claims

1. (Original) Process for hydrogenating a substrate containing a carbon-heteroatom double bond, which includes the step of reacting the substrate with hydrogen in the presence of a hydrogenation catalyst and of a base, characterized in that the hydrogenation catalyst is a transition metal complex of the formula (I)



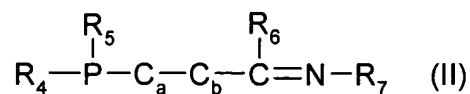
where

X, Y are each independently a hydrogen atom, halogen atom, C₁₋₈alkoxy or C₁₋₈acyloxy group, or a coordinatively bound organic solvent molecule containing at least one heteroatom having at least one free electron pair, for example in the form of (cyclo)alkyl/aryloxy, -thio or

-amino groups, in which case the charge of the resulting cationic complex is balanced by an anion, for example CN⁻, OCN⁻, PF₆⁻ or F₃C-SO₂O⁻,

R₁, R₂, R₃ are each independently an alkyl, alkyloxy, alkylthio, dialkylamino, cycloalkyl, cycloalkyloxy, cycloalkylthio, dicycloalkylamino, aryl, aryloxy, arylthio or diarylamino group, optionally substituted by 1,2 or 3 radicals which are each independently selected from C₁₋₄alkyl groups and C₁₋₄alkoxy groups, or one of the R₁, R₂, R₃ radicals is as defined above and the remaining 2 radicals which, linked either via an oxygen bridge or directly to the phosphorus atom, form, including the phosphorus atom, a 4- to 8-membered, optionally substituted ring,

P-Z-N is a bidentate ligand which contains an sp²-hybridized nitrogen atom and is of the formula (II)



where

R₄, R₅ are each independently a linear, branched or cyclic C₁₋₈alkyl or C₂₋₈alkenyl group, optionally substituted; C₆₋₁₈aryl, C₃₋₁₈heteroaryl, C₃₋₈cycloalkyl, (C₁₋₈Alkyl)₁₋₃-(Hetero)Aryl, optionally substituted, whereby possible substituents are halogen, organohalogen group, O(C₁₋₈)alkyl, N(C₁₋₈alkyl)₂; or R₄ and R₅ together are a saturated or aromatic ring composed of 5 to 10 atoms including the phosphorus atom, C_a, C_b are each a part of an aromatic, optionally substituted (hetero)aryl having at least 6 π -electrons,

R₆ is a hydrogen atom, a linear, branched or cyclic C₁₋₁₀alkyl or C₂₋₁₀alkenyl group, optionally substituted, an aromatic ring, optionally substituted, a -OR₆[•] or -NR₆[•]R₆[•] radical, where R₆[•] and R₆^{••} are as defined for R₆,

R₇ is a hydrogen atom, a linear, branched or cyclic C₁₋₁₀alkyl or C₂₋₁₀alkenyl group, or an R₇[•]CO or R₇[•]SO₂ radical where R₇[•] is a C₁₋₈alkyl or aryl group,
or

R₆ and R₇ together are an unsaturated (hetero)cycle composed of 5 to 10, optionally substituted ring atoms, including the carbon and the nitrogen atom to which R₆ and R₇ are bonded, and optionally including further heteroatoms.

2. (Original) Process according to Claim 1, characterized in that X, Y in the formula (I) are each independently a hydrogen atom or a halogen atom.

3. (Original) Process according to Claim 2, characterized in that X, Y in the formula (I) are each a halogen atom, in particular chlorine.

4. (Currently amended) Process according to ~~one of Claims 1 to 3~~ Claim 1, characterized in that R₁, R₂, R₃ in the formula (I) are each independently a methyl, ethyl, propyl, i-propyl, n-butyl, i-butyl, sec-butyl, tert-butyl, cyclopentyl, cyclohexyl, phenyl, o- or p-tolyl, p-isopropylphenyl or mesityl group.

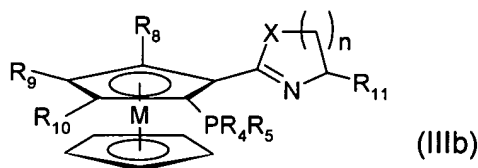
5. (Currently amended) Process according to ~~one of Claims 1 to 4~~ Claim 1, characterized in that R₄, R₅ in the formula (I) are each independently a radical selected from

methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, cyclohexyl, phenyl, o- or p-tolyl, mesityl, α - or β -naphthyl.

6. (Currently amended) Process according to ~~one of Claims 1 to 5~~ Claim 1, characterized in that C_a , C_b in the formula (II) are part of a pure 6 π -electron system in the form of optionally substituted benzene or in the form of an optionally substituted cyclopentadienide ion as a ligand of a metallocene.

7. (Currently amended) Process according to ~~one of Claims 1 to 6~~ Claim 1, characterized in that R_6 and R_7 in the formula (II) together are an unsaturated heterocycle composed of 5 to 10, optionally substituted ring atoms, including the carbon and the nitrogen atom to which R_6 and R_7 are bonded, and optionally including further heteroatoms.

8. (Currently amended) Process according to ~~one of Claims 1 to 5~~ Claim 1, characterized in that the ligand of the formula (II) is a ligand of the general formula (IIIb)



where

$n = 1$ or 2 , preferably 1 ,

$M = \text{Fe, Ru, Os}$, preferably Fe ,

$X = \text{O, S or N}$, preferably O ,

R_4, R_5 are each radicals corresponding to the definition given under formula (II),

R_{11} is a C_{2-8} alkoxyalkyl, C_{7-19} aralkyl, C_{3-18} heteroaryl, C_{4-19} heteroaralkyl,

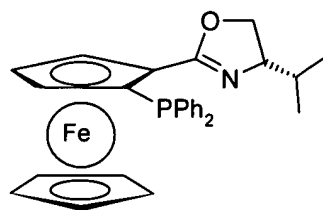
$(\text{C}_{1-8}\text{alkyl})_{1-3}$ - $\text{C}_{6-18}(\text{hetero})\text{aryl}$, $(\text{C}_{1-8}\text{alkyl})_{1-3}$ - $\text{C}_{6-18}\text{cycloalkyl}$, $\text{C}_{3-8}\text{cycloalkyl}$,

$\text{C}_{3-8}\text{cycloalkyl}$ - $\text{C}_{1-8}\text{alkyl}$ radical, or preferably $\text{C}_{1-8}\text{alkyl}$, $\text{C}_{6-18}\text{aryl}$ radical, in particular i -propyl, and the radicals mentioned may be substituted by one or more heteroatoms such

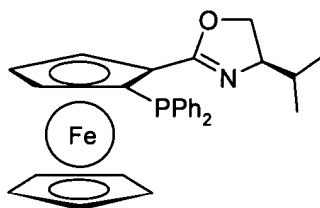
as Hal, Si, N, O, P, S, or the radicals may have one or more heteroatoms such as Si, N, O, P, S in their carbon framework,

$R_{8,9,10}$ are each independently a C_{1-8} alkyl, C_{2-8} alkoxyalkyl, C_{6-18} aryl, C_{7-19} aralkyl, C_{3-18} heteroaryl, C_{4-19} heteroaralkyl, $(C_{1-8}alkyl)_{1-3}-C_{6-18}(hetero)aryl$, C_{3-8} cycloalkyl, $(C_{1-8}alkyl)_{1-3}-C_{6-18}$ cycloalkyl, C_{3-8} cycloalkyl- C_{1-8} alkyl radical, or preferably H, and the radicals mentioned may be substituted by one or more heteroatoms such as Hal, Si, N, O, P, S, or the radicals may have one or more heteroatoms such as Si, N, O, P, S in their carbon framework.

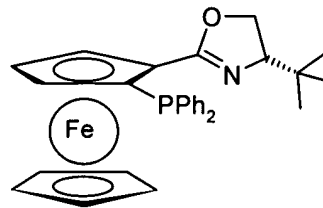
9. (Original) Process according to Claim 8, characterized in that the ligand of the formula (IIIb) is selected from the ligands A to G:



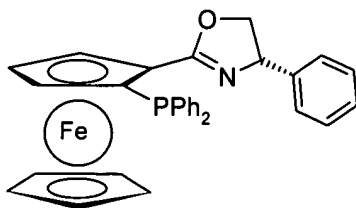
A



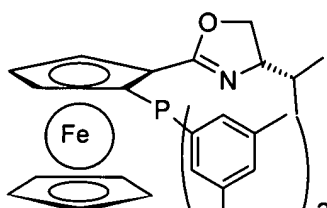
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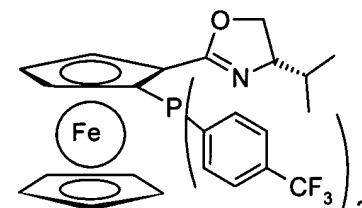
C



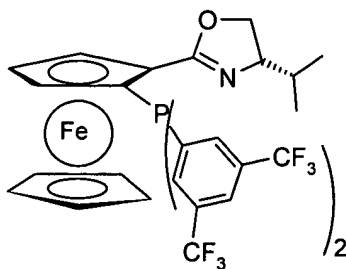
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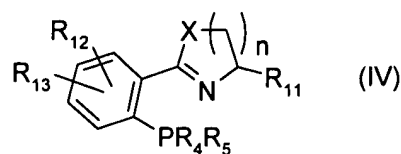


F



G

10. (Currently amended) Process according to ~~one of Claims 1 to 5~~ Claim 1, characterized in that the ligand of the formula (II) is a ligand of the general formula (IV)



where

$n = 1$ or 2 , preferably 1 ,

$X = O, S$ or N , preferably O ,

R_4, R_5 are each radicals corresponding to the definition given under formula (II),

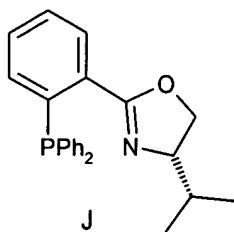
R_{11} is a C_{2-8} alkoxyalkyl, C_{7-19} aralkyl, C_{3-18} heteroaryl, C_{4-19} heteroaralkyl,

$(C_{1-8}$ alkyl) $_{1-3}$ - C_{6-18} (hetero)aryl, $(C_{1-8}$ alkyl) $_{1-3}$ - C_{6-18} cycloalkyl, C_{3-8} cycloalkyl,

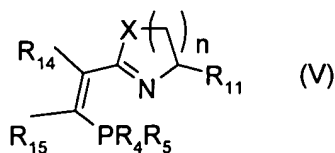
C_{3-8} cycloalkyl- C_{1-8} alkyl radical, or preferably C_{1-8} alkyl, C_{6-18} aryl radical, in particular *i*-propyl, and the radicals mentioned may be substituted by one or more heteroatoms such as Hal, Si, N, O, P, S, or the radicals may have one or more heteroatoms such as Si, N, O, P, S in their carbon framework,

R_{12}, R_{13} are each independently a C_{1-8} alkyl, C_{1-4} alkoxy radical, or preferably H, or are together a fused cycloalkyl or aryl ring.

12. (Original) Process according to Claim 11, characterized in that the ligand of the formula (IV) corresponds to the formula J:



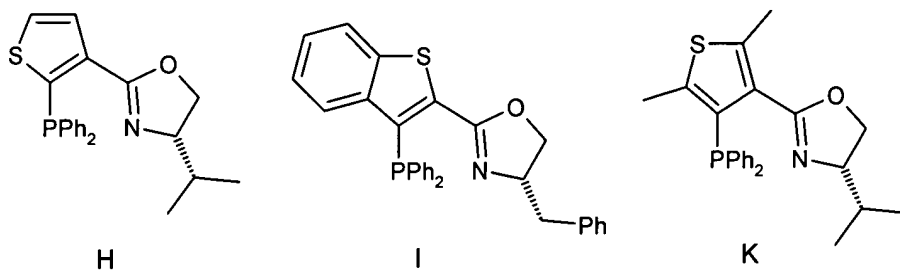
13. (Currently amended) Process according to ~~one of Claims 1 to 5~~ Claim 1, characterized in that the ligand of the formula (II) is a ligand of the general formula (V)



where

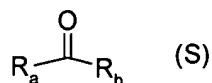
n, X, R₄, R₅ and R₁₁ are each as defined in Claim 10 for formula (IV), and R₁₄ and R₁₅ together are a 6 π - or 10 π -electron heteroaromatic system, optionally substituted by linear or branched C₁₋₈alkyl radicals, and possible heteroatoms are N, O, or S.

14. (Original) Process according to Claim 13, characterized in that the ligand of the formula (V) corresponds to one of the formulae H, I and K:



15. (Original) Process according to Claim 1, characterized in that the substrate to be hydrogenated is a prochiral imine or ketone.

16. (Original) Process according to Claim 15, characterized in that the substrate to be hydrogenated is a prochiral ketone of the general formula (S)

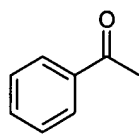


where R_a and R_b are each independently a hydrogen atom, a cyclic, linear or branched C₁₋₈alkyl or C₂₋₈alkenyl group, or an monocyclic or polycyclic aryl or heteroaryl group, optionally substituted by linear or branched C₁₋₈alkyl, C₁₋₈alkoxy groups, or halogen atoms.

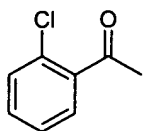
17. (Original) Process according to Claim 16, characterized in that the substrate to be hydrogenated is a prochiral monocyclic or polycyclic aryl ketone or heteroaryl

ketone, optionally substituted by linear or branched C₁₋₈alkyl, C₁₋₈alkoxy groups, or halogen atoms.

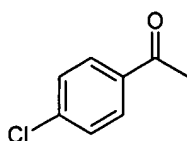
18. (Original) Process according to Claim 17, characterized in that the substrate to be hydrogenated is selected from one of ketones 1 to 7:



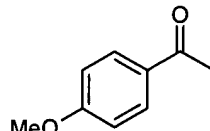
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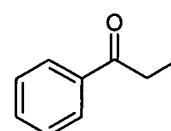
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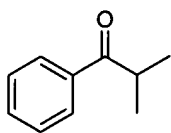
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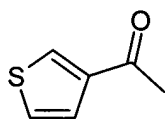
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7